- Thank all the reviewers for the insightful comments and the helpful suggestions!
- The reviewers' common concern is about the connections between the algorithm and the theoretical analysis. So we 2
- first outline the connections as follows.
- 1. Our return bound removes the term that involves the behavior policy, which is consistent with our algorithm. It fixes
- an inconsistency issue of MBPO: their theoretical results suggest to constrain the distance between the behavior policy
- and the new policy, but their algorithm does not have such a constraint, leading to bad performances in some cases.
- 2. The theoretical results tell us that the gap between model returns and actual returns depends on the model bias in
- model rollouts instead of that in real rollouts (or in validation trajectories). Previous methods can be understood as 8
- $M(s,a) \equiv 1$  for all (s,a) during model rollouts though the model bias can be large (or even undefined, because the 9
- imaginary state may not be a valid state), so they suffer from large performance gap especially when using long model 10
- rollouts. So this result suggests to restrict model usage to reduce the gap, which leads to our actual algorithm. 11
- 3. We formulate the gap in the form of  $\epsilon \cdot w$ . Here  $\epsilon$  is the maximum model error during *model* rollouts. So it is difficult 12
- to quantitatively constrain  $\epsilon$ , if possible. However, we can always control w, the portion of model-generated samples to 13
- be used. So it leads to our rank-based heuristic that selects model-generated samples with the hyper-parameter w. 14

## To Reviewer #1: 15

- Q1. A more sophisticated approach to choose w?
- A1. We agree that it is a great idea. Actually, we have tried to choose 17
- samples by using the samples whose predictive likelihoods are less
- than the average likelihood of a hold-out validation dataset, which 19
- had have better performance than trivial likelihood-based heuristics. 20
- However, we do not include it because 1) we want our algorithm to 21
- be easy-to-use, i.e., having competitive performance in most environ-22
- ments with the default hyper-parameters (set w with a linear schedule 23
- around 0.25), and 2) our rank-based heuristic with OvR uncertainty 24
- estimation can have better performance. 25
- Q2. Explanation of non-stop mode and hard-stop mode? 26
- A2. Thanks for pointing this out. As demonstrated in Figure 1, non-stop mode can provide richer samples. We will add 27
- detailed explanation and ablation studies in text and in figure in the final version. 28

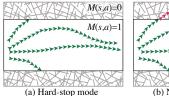
## To Reviewer #2: 29

- Q1. 7 and 10 runs are too few, at least 30 would be better. Model-free methods in the noisy environments are missing.
- A1. Thanks for the suggestion. This was due to limited computational resources (as we have to run many environments 31
- in many different settings). We will add more runs as well as model-free baselines in the final version. 32
- To Reviewer #3: Q1. Meaning of "small" uncertainty score in Line-10 of Algorithm 2? 33
- A1. When the agent generates a batch of B imaginary samples, it aligns an uncertainty score with the OvR estimation 34
- for each sample. Then it ranks these samples by their uncertainty scores, and selects the first |wB| samples (whose 35
- uncertainty scores are "smaller" than others). Here, the only hyper-parameter is w. We show by experiments that our
- default choice of w works fairly well across a wide range of tasks, and the algorithm is robust with varying w. 37
- 38 Q2. Lines 154-164 provides more of a high level intuition rather than an properly laid out interpretation.
- A2. Thanks for pointing this out. We revised this paragraph to make it clearer. 39

## To Reviewer #4: 40

42

- Q1. Results should include more environments (InvertedPendulum and Ant).
- A1. Thanks for the suggestion. We will add the results in the appendix. Here we report the average results of Ant in Table 1. As for InvertedPendulum, M2AC 43
- performs comparably good as MBPO (Return=1000) because the task is too simple. 44
- Q2. How to use the predictor u(s, a) for the model-bias penalty?
- A2. For the model-bias penalty, since  $D_{TV}(\cdot,\cdot) \leq \sqrt{D_{KL}(\cdot||\cdot|)/2}$  and our u(s,a) in OvR uncertainty estimation in 46
- Eq.(9) is a KL-divergence, we compute the sample mean of  $\alpha \sqrt{u(s,a)/2}$  as the model-bias penalty. We will add 47
- detailed explanation in the final version.



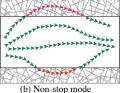


Figure 1: A demonstration of the model rollout modes in M2AC. (a) Hard-stop mode stops model rollouts once it encounters an (s, a) that M(s, a) =0; (b) Non-stop mode always runs  $H_{\text{max}}$  steps and only keeps the samples that has M(s, a) = 1 (in green).

Table 1: Ant-v2.

3586

4102

2167

3907

7

2394

3306

 $H_{\text{max}}$ 

**MBPO** 

M2AC