- We thank all the reviewers for their time, valuable and encouraging feedback and recommendations for improvement.
- In the following, we address their concerns and questions.
- Motivations, intuitions, and formulation lead to better semantic coherence and downstream tasks (R1, R2): In short, 3
- we can justify the better semantic coherence of learned topics using underlying mechanism of word embeddings and 4
- optimizer in Eq. (5) which is  $\beta_k = \arg\min_{\beta} \sum_i \frac{\pi_{ik}}{b_k} \sum_{u,v} \gamma_{uv}^i c_{uv}$ . Our intuitive explanation is as follows. The word embeddings are resulted from learning co-occurring of words in documents, therefore when two words  $w_u$  and  $w_v$
- appear more frequently in the corpus, their embeddings are more similar, i.e.  $c_{uv}$  is small. Our proposed model aims to
- optimize Eq. (5) which will put a higher value on  $\gamma_{uv}^i$ . As a consequence, the pair of  $w_u$  and  $w_v$  usually gets higher
- weight in topic  $\beta_k$ . When computing topic coherence, we usually choose top words with high weights, it is more
- like this pair of words to present in the top words list which may produce bigger the numerator of coherence formula. 10
- Another property that our proposed model process is clustering characteristic which means closer documents in terms 11
- of optimal transport (aka word mover distance WMD) will have similar topic proportion vectors. We also knew that 12
- WMD provides good distance for documents in text classification in [1]. Our results in downstream classification task 13
- are orthogonal with their results.
- Tuning the regularizer parameter lambda (R1,R2): In fact, we did **not** heavily tune the regularizer parameter. We ran
- the model with four settings of the regularizer parameter is to check the sensitivity of our model. On the contrary, for
- the baseline approaches, we chose the best-reported values in their papers which we think had already gone through a 17
- tuning procedure. 18
- The advantage of considering word counts in our proposed model (R1,R3): When dealing with a varied document 19
- length corpus, thank to word counts consideration, our model can up-weight longer documents while down-weighting 20
- shorter ones. We have demonstrated that our model can handle short document datasets such as 20NGshort or Tweets 21
- better. Word count weighting also provides the connection between our proposed model and LDA. 22
- Some minor suggestions, notations, and typos (R1,R2, R3): We appreciate your pointing and constructive suggestions. 23
- We will improve the manuscript with the suggestions. 24
- R1: Exploration of entropic regularization parameters: There are different gamma entropic regularization parameters. 25
- As we mentioned we did not tune these parameters when comparing to baseline approaches. We leave the investigation 26
- of the effects the entropic regularization parameters as future work. 27
- Not to compare against other Wasserstein-based approaches: There are two Wasserstein-based approaches which can 28
- solve the problem namely WDL and DWL. Unfortunately, the code of DWL is not publicly available, we are not able to 29
- compare with. One of our baselines is DWL which we called WNMF in the paper.
- Times/complexity for this model: In comparison with WNMF, our model is much faster since using Sinkhorn-based 31
- algorithms to learn while WNMF runs Sinkhorn-based algorithms (forward) then compute the gradient to update the 32
- model (backward). In comparison with neural topic models like ETM, our model is slower since neural topic models use 33
- amortized variational inference to learn. We did not include running time since the code are implemented in different 34
- platforms or programming languages. For instance, WNMF is implemented with Matlab/C++, ETM is coded with 35
- PyTorch while our model is implemented with plain python using POT library.
- L184: It is  $L_1$  norm. We will clarify it.
- R2: Only 20-100 topics were used: Choosing the number of topics in topic models is a challenging task which is not 38
- the main focus in our experiments. Our strategy for selecting the number of topics for each dataset follows existing 39
- work in the literature. Moreover, in practice, learned topics are usually inspected by a human for the use of visualization 40
- or understanding, it is impractical to deal with a very large number of topics. 41
- I found the notation very confusing: We will clarify symbols and notations in the revised version. In particular, thanks
- for your suggestion to include a table to summarize the notations, we will implement that. 43
- R3: Datasets are not large: We agree that the datasets we used are not considered as modern datasets. In this paper, we 44
- would like to demonstrate a novel tool to solve the topic modeling problem. Scaling up the current model to massive 45
- datasets is one of our future work. 46
- *Embeddings*: We did mention the use of word2vec embeddings in our experiments in lines 257–258.
- Qualitative results and UCI metric: Given space restriction, we had to make a choice to balance the theory and
- experimental results, we shall aim to improve the post-analysis results as well as more topics in the supplementary 49
- materials 50
- [1] Matt Kusner, Yu Sun, Nicholas Kolkin, and Kilian Weinberger. From word embeddings to document distances. In 51
- International conference on machine learning, pages 957–966, 2015.