SciFIBench: Benchmarking Large Multimodal Models for Scientific Figure Interpretation

Jonathan Roberts University of Cambridge jdr53@cam.ac.uk Kai Han The University of Hong Kong kaihanx@hku.hk

Neil Houlsby Google DeepMind neilhoulsby@google.com

Samuel Albanie

samuel.albanie.academic@gmail.com

Abstract

Large multimodal models (LMMs) have proven flexible and generalisable across many tasks and fields. Although they have strong potential to aid scientific research, their capabilities in this domain are not well characterised. A key aspect of scientific research is the ability to understand and interpret figures, which serve as a rich, compressed source of complex information. In this work, we present **SciFIBench**, a scientific figure interpretation benchmark consisting of 2000 questions split between two tasks across 8 categories. The questions are curated from arXiv paper figures and captions, using adversarial filtering to find hard negatives and human verification for quality control. We evaluate 28 LMMs on SciFIBench, finding it to be a challenging benchmark. Finally, we investigate the alignment and reasoning faithfulness of the LMMs on augmented question sets from our benchmark. We release SciFIBench to encourage progress in this domain: https://SciFIBench.github.io/.

1 Introduction

Lately, the rate of progress in the development of artificial intelligence (AI) has significantly increased. The emergence of foundation models [1], trained on large-scale broad data using extensive computational resources enabling generalisation across many downstream applications, has greatly expanded the range of possible domains and tasks in which machine intelligence can operate. Notable large language models (LLMs), such as GPT-4 [2], LLaMA [3], and PaLM [4], and subsequent large multimodal models (LMMs), for example, GPT-4V [5], Qwen [6], and Gemini [7], have proven to be flexible and generalisable across many tasks. In particular, their capabilities have been demonstrated in fields such as mathematics [8, 9, 10], medicine [11, 12, 13, 14, 10], and finance [15, 16], as well as writing code [17] and the geographic and geospatial domains [18, 19].

One area that is beginning to receive more attention is the *scientific domain*, which has the potential to greatly benefit from AI tooling. Although the current generation of frontier models is arguably unable to perform independent, end-to-end scientific research, there is an emerging body of evidence [17, 10, 20, 21, 22, 23] suggesting they can be used as a tool to assist different stages of the scientific process. A key aspect of scientific research is the ability to *understand figures*, which serve as a rich, compressed source of complex information. As noted in [24], unique challenges arise from the complex and dense semantics of scientific images and the sophisticated language preferences of researchers. While the abilities of LMMs across some domains are relatively well-understood thanks to established benchmarks [25, 26, 27, 28, 29], their capacity to understand scientific figures is not well known. However, reliably characterising the ability of a model to interpret scientific figures is challenging without an obvious objective evaluation metric. Another consideration is the source of accurate ground truth; manually annotating a sufficiently large evaluation set of figures with accurate descriptions is unfeasible, and challenging without appropriate domain knowledge.

38th Conference on Neural Information Processing Systems (NeurIPS 2024) Track on Datasets and Benchmarks.



Figure 1: **Overview of SciFIBench.** *Left*: our benchmark consists of 2000 multiple-choice scientific figure interpretation questions curated from arXiv papers using adversarial filtering and human verification to maximise difficulty and quality, respectively. *Right*: we evaluate a suite of LMMs on the two core SciFIBench tasks, leveraging an LLM for automatic evaluation.

We circumvent these issues by reframing the evaluation to a multiple-choice setting, using the figure captions as ground truth descriptions – see Fig. 1. Concretely, using figure-caption pairs from arXiv papers, we construct a pool of multiple-choice questions for the two tasks shown in Fig. 1. Following other popular works [30], we adopt adversarial filtering when curating the negatives for each question to increase the difficulty. To further improve the quality, we utilise human verification on *every* question to ensure they are maximally answerable. We create **SciFIBench** (Scientific Figure Interpretation Benchmark) by sampling from this question pool with the following three objectives in mind: (1) **Quality** – we perform human verification on every question to ensure high-quality questions that are answerable. (2) **Efficiency** – we choose a small-scale set of questions, enabling streamlined evaluation and ensuring the benchmark can maximally be used by the community. (3) **Robustness** – we conduct careful analysis to verify SciFIBench offers a suitably robust evaluation. Our benchmark consists of 2000 high-quality, challenging questions.

We evaluate a suite of 28 open- and closed-source LMM baselines on SciFIBench and compare the performance to human and vision-language model (VLM) baselines. To overcome the challenges associated with post-processing the output of LMMs to extract a specific answer at scale, we leverage Gemini-Pro [7] to parse the output of all evaluated LMMs and extract the relevant multiple-choice letter answers, enabling automatic evaluation. Finally, we carry out preliminary experiments probing the alignment and faithfulness of the LMMs when answering questions in our benchmark. We hope our insights will encourage further research in this direction.

To conclude, our main contributions are as follows: (i) We curate **SciFIBench** to evaluate scientific figure interpretation. (ii) We benchmark 28 LMMs on SciFIBench and compare the performance to human and VLM baselines. (iii) We introduce an experimental setting probing the instruction-following abilities and faithfulness of reasoning of the LMMs. (iv) We release SciFIBench to drive progress in LMM scientific figure interpretation and understanding research. We derive these key insights from our work:

- SciFIBench proves to be a challenging benchmark for current LMMs.
- GPT-40 [31] and Gemini 1.5 [32] are the best-performing models, outperforming all the VLM baselines but are beaten by the human baseline.
- Adversarial filtering significantly increases multiple-choice question difficulty but human filtering is crucial to ensure high-quality, answerable questions.
- Leveraging a strong LLM to evaluate the noisy output of the evaluated LMMs proves accurate and viable for automatic evaluation.
- The evaluated LMMs show varying levels of faithfulness in their answers.

2 Related Work

Scientific Figure Interpretation. Several approaches have been proposed to investigate the capacity of multimodal models to interpret scientific figures. These include **question answering** benchmarks such as ChartQA [33], PlotQA [34], and FigureQA [35], which ask complex reasoning questions about scientific figures. ACL-Fig [36] introduces the task of **type classification** for scientific figures. A

large body of literature exists that evaluates the quality of **generated captions** for scientific figures. The progenitor for many subsequent works is SciCap [37], in which an image-captioning model is trained to generate high-quality captions. SciCap+ [38] builds this idea further and includes figure mention-paragraphs in addition to input figures. SciCap-Eval [39] investigates the usage of LLMs for ranking scientific figure captions. VisText [40] fine-tunes language models to generate captions for scientific charts, and FigCaps-HF [41] introduces a framework that initially learns a human feedback prediction model and incorporates this to optimise caption generation based on reader preference. The SciMMIR benchmark [24] characterises the abilities of vision-language models to understand scientific figures through **retrieval** experiments. More recently, a few works [5, 10] have conducted a qualitative analysis of LMM (specifically, GPT-4V [5]) performance on a small handful of scientific figures. We draw inspiration from these works, incorporating some of the methodological ideas. However, our work focuses on a quantitative evaluation of LMMs for the task to a multiple-choice setting as this is more suitable for robust evaluation of LMMs.

LMM Benchmarks. A number of benchmarks aimed at multimodal model evaluation have been developed in recent years. Prominent natural image benchmarks include LVLM-eHub [42], MMBench [26], MME [43], MM-Vet [44], and SEEDBench [28] and SEEDBench-2 [29], which both consist of multiple-choice questions across different domains and evaluation dimensions. A small-scale geographic and geospatial benchmark is introduced in [19]. LAMM [45] evaluates a variety of computer vision tasks on 2D natural images as well as 3D point clouds. Other benchmarks, such as HallusionBench [46], focus on the failure modes and hallucinations of the models. MathVista [27] introduces a mathematical reasoning in visual contexts metadataset, which includes scientific figures and charts. This benchmark contains similar image types to our work but has a different focus and uses different question types. The MMMU benchmark [25] includes multi-discipline college-level image-based problems and questions. Although limited to text, we take inspiration by the adversarial filtering approach taken in [30], in the curation of the multiple-choice questions in our work. Our work incorporates stylistic and methodological inspiration from these works but tackles a different image type with a different overall focus of scientific figure interpretation.

3 SciFIBench

SciFIBench is comprised of 2000 questions, derived from figures and captions extracted from arXiv papers, curated into two tasks and split into 2 subsets based on the data source (Tab. 1).

Subset	# question	ıs per task	Num. pairs	Num.	Source	Human
	$Figure \rightarrow Caption$	$Caption \rightarrow Figure$	in pool	categories	data	verified?
CS	500	500	94k	1	[37]	1
General	500	500	102k	7	[47]	\checkmark

Table 1: SciFIBench is composed of two subsets of questions based on category and source.

3.1 Tasks

SciFIBench consists of the following two tasks related to scientific figure interpretation (Fig. 1): **Figure**→**Caption**: Given an input figure, along with a set of 5 captions labeled A-E, select the correct caption for the figure. **Caption**→**Figure**: Given an input caption, along with a set of 5 figures labeled A-E,

select the correct figure for the caption.

3.2 Curation methodology

We use the SciCap dataset [37] as our initial source of scientific figure-caption pairs. SciCap is a large-scale dataset consisting of figures and corresponding captions extracted from arXiv computer science (CS) papers between the years 2010-2020. From SciCap, we select the *Single-Sentence* subset (train, val, test), containing \sim 94k figure-caption pairs, and only includes captions that are one sentence in length. The figures are filtered to remove any containing subfigures, and the captions are normalised to remove figure numbers. We then perform the following preprocessing and curation steps:

1. Deduplication: We initially drop any captions (and corresponding figures) if they are duplicates.



Figure 2: SciFIBench question figure and caption statistics.

2. Compute embeddings: We then use a variant of CLIP [48]¹. to compute embeddings for each figure-caption pair. After normalising, we concatenate the text and image embeddings to form joint embeddings, represented as vectors $x \in \mathbb{R}^d$, where *d* is equal to 2048.

3. Construct vector database: Using Faiss [51], we create a vector database of the joint embeddings. 4. Find nearest neighbours: For each embedding, we search for the k nearest neighbours based on Euclidean distance. Concretely, given the set of database embeddings $\{x_i, i=1..N\} \subset \mathbb{R}^d$ and a query embedding $q \in \mathbb{R}^d$, we compute the k nearest neighbours of q as:

$$(n_1, \dots, n_k) = \underset{n=1..N}{\operatorname{argmin}^k} ||q - x_n||.$$
(1)

5. **Similarity filtering**: To increase the likelihood the multiple-choice questions are answerable we remove very similar figure-caption pairs from our dataset (*e.g.*, with minor formatting differences but no semantic difference) by dropping a sample (x_s) if its distance to the query embedding (*i.e.*, $||q-x_s||$) falls below a threshold.

6. Question construction: For each selected figure-caption pair, we create multiple-choice questions using the k nearest neighbours. For the **Figure** \rightarrow **Caption** task, we create target captions by randomly shuffling the true caption with the corresponding k nearest neighbour captions. Similarly, for the **Caption** \rightarrow **Figure** task, we create the target figures by randomly shuffling the true figure with the corresponding k nearest neighbour shuffling the true figure with the corresponding k nearest neighbour figures.

7. **Categorisation**: We categorise questions based on the arXiv category of the true figure-caption pair. Questions in the 10 most common categories are grouped individually while those in less common categories are labelled 'other cs'; questions from cross-listed papers are labelled 'cross-list'.

8. **Difficulty filtering**: We adopt the average distance of the joint embeddings of the negatives to the true answer as a measure of question difficulty. We sort the questions based on this difficulty.

9. Human verification: We sample the most difficult questions per category and perform human verification to select 'answerable' questions. We classify a question as answerable if it contains sufficient information for a domain expert to determine the single correct answer (*i.e.*, questions with ambiguous choices; or references to context-dependent details, such as 'Exp. 1', 'Config. 1', etc. are disregarded). Minor text edits were made for a small subset of the questions to reduce ambiguity.

Following these steps, we obtain a pool of high-quality questions. We evaluate GPT-4V [5] and Gemini-Pro Vision [7] on the pool and select questions that either model answers incorrectly and sample the remaining questions per category to create our curated 'CS' question set. As some categories had few answerable questions in the pool, category balance was approached, but not achieved in all cases - Fig. 3 illustrates the category representation. For example, the pool of possible 'cs.AI' category questions was dominated by figures/captions from a single paper; to avoid introducing bias, we only included 10 such questions per task. For analysis, a noisier subset was then constructed by taking the next 5000 most difficult questions per task, sampled across categories, without human checking. Example questions from the curated set are shown in Fig. 5. To expand the diversity of the questions in our benchmark, we utilised the ArXivCap dataset [47], which contains figure-caption pairs from papers covering 32 arXiv domains up to 2023. Due to its larger scale, we initially randomly downselected 25% of the data and removed all pairs from CS papers. We then repeated the curation steps outlined above, to create a pool of answerable questions for each task. We then carried out a category-balanced downsampling of questions Gemini 1.5 Pro [32] and GPT-4o [31] answered incorrectly to reach a final curated 'General' set of 500 questions per task. In Fig.2 we show figure and caption statistics for questions across both subsets of SciFIBench. **Quality control.** We focus our evaluation on a small set of questions to ensure high quality. Having

¹We use ViT-H-14-378-quickgelu [49] as it attains strong zero-shot performance on numerous datasets [50].



Figure 5: **Example SciFIBench questions** for each task with the challenging adversarially-selected and easier randomly-selected negatives. SciFIBench covers a broad range of figure types including line/pie/bar/flow charts, scatter/box/3D/contour plots, multiplots, maps, heatmaps, and decision trees.

manually checked each question, we conservatively estimate the noise level in the curated set -i.e. included in SciFIBench – to be at most a few percent. In these minority, questionable cases, we estimate there is a reasonable chance the questions can be answered with appropriate domain expertise. Based on spot checks, we estimate the noise level on the noisy, uncurated questions to be \sim 20-25%. Minor cosmetic errors, such as typos in captions or obscured axis labels, originating from the original data were

deliberately left unchanged when included in SciFIBench to increase realism and difficulty. Question difficulty. Preliminary ablation studies on a random set of questions showed that, for nearly all the LMMs evaluated, selecting hard negatives using nearest neighbours determined by joint-embedding similarity yields the most challenging questions, with lower accuracy scores than the single-modality neighbours. Fig. 4 outlines a comparison of the difficulty distribution of the included curated questions and uncurated noisy questions, based on L_2 distance. The effect of adversarial compared to random negatives selection can be seen in the disparity of the orange and blue distributions, with the adversarial negatives having a much lower mean L_2 distance and therefore higher difficulty. As expected from the curation process, the curated adversarial distribution is more challenging than the noisy distribution.

4 **Experiments**

Through a variety of experiments, we evaluate the scientific figure interpretation abilities of a selection of LMMs on our SciFIBench benchmark and conduct a detailed analysis of their performance.

4.1 Baselines

LMMs. We evaluate the following **closed-source** models: GPT-4 {V, Turbo, o} [5, 52, 31] Gemini-Pro Vision [7], Gemini 1.5 {Flash, Pro} [32], and Claude 3 {Opus, Sonnet and Haiku} [53]. We also evaluate the following **open-source** models: IDEFICS [54], Qwen-VL [6], Emu2 [55], TransCore-M [56], InternLM-Composer 1,2 [57], CogVLM [58], OmniLMM [59], Yi [60], InstructBLIP [61], Monkey [62], and LLaVA-1.5 [63]. We use chat / instruction-tuned variants of each model (rather than base models) and compare the performance of multiple model sizes where available. Roughly half of these baselines can take interleaved text and images as input, and therefore be evaluated on the Caption \rightarrow Figure task. We also consider a text-only baseline in which we provide the LMM with the output from an OCR model [64] rather than images. **VLMs.** As a point of comparison, we evaluate strong VLM models on SciFIBench. Specifically, we evaluate a MetaCLIP [65] variant, the Google Multimodal Embedding Model [66], and the CLIP model [49] used to determine the nearest neighbour multiple-choice options. **Humans.** Additionally, we evaluate a human baseline to gauge the relative performance difference between humans and LMMs. The humans (undergraduate and postgraduate students) were presented with the same prompt as the models.

While it is difficult to say with certainty if arXiv data was included in the training sets of these models, there might be some leakage, as expected when using web images. However, given the scale of the training data, we do not expect this to impact our evaluation.

4.2 Experimental Settings

Inference. For the closed-source models, inference was carried out via the OpenAI API [67] or Vertex AI API [68]. We use Transformers [69] and OpenCompass toolkit [70] to access the open-source models and conduct inference using NVIDIA A100 GPUs. With current pricing, evaluating GPT-4V on SciFIBench costs \sim \$30. For the open-source models, the typical inference runtime using an A100 is \sim 1 hour (*e.g.*, using Qwen-VL).

Hyperparameters. We select model hyperparameters that produce deterministic output. For the open-source models, we utilise the greedy search decoding strategy, in which the most probable token is selected from the model vocabulary V at each step, conditional on the preceding tokens *i.e.*, $w_{n+1} = \arg \max_{w \in V} P(w|w_1, w_2, ..., w_n)$. For the Gemini and Claude models, we set the *temperature* to 0 and *topk* to 1; for the GPT-4 models, we also set the *temperature* to 0.

Prompting. We adopt a generic 0-shot chain-of-thought [71] style prompt for each task, details of which can be found in the Appendix. Where relevant, we follow model-specific prompting suggestions and modify the prompt template accordingly. We found that shuffling the order of the multiple-choice answers causes performance to vary within a range of 5%.

Automatic Evaluation. Despite instruction to constrain the format of the model answers to each question to just the target choice letter, *e.g.*, 'A', most of the evaluated models did not consistently follow this, posing a challenge to automatic evaluation. To overcome this, we used Gemini-Pro to initially parse the output and extract the answer letter or flag if no single answer was given.

			C	S			Gen	eral	Overall		
Model	F	ig.→Cap			Cap.→Fig		Fig.→Cap.	Cap.→Fig.	Fig.→Cap.	Cap.→Fig.	
	Advers.	•	Random	Advers.	. 0	Random	Advers.	Advers.	Advers.	Advers.	
	negatives		negatives	negatives		negatives	negatives	negatives	negatives	negatives	
	0		(Closed-source	e LMMs	0	6	0	0	6	
GPT-4V [5]	69.4	+29.8	99.2	58.4	+38.0	96.4	-	-	-	-	
GPT-4 Turbo [52]	68.0	+30.6	98.6	60.6	+36.8	97.4	62.8	55.2	65.4	57.9	
GPT-40 [31]	75.4	+24.2	99.6	72.2	+26.8	99.0	72.2	58.6	73.8	65.4	
Gemini Pro Vision [7]	56.0	+41.2	97.2	52.4	+46.0	98.4	50.6	39.6	53.3	46.0	
Gemini 1.5 Pro [32]	74.0	+25.0	99.0	76.0	+22.4	98.4	65.2	56.2	69.6	66.1	
Gemini 1.5 Flash [32]	74.4	+24.6	99.0	69.6	+29.4	99.0	65.8	62.4	70.1	66.1	
Claude 3 Haiku [53]	52.6	+36.4	89.0	43.8	+34.6	78.4	52.6	33.0	52.6	38.4	
Claude 3 Sonnet [53]	53.4	+33.0	86.4	58.4	+31.6	90.0	53.6	55.0	53.5	56.7	
Claude 3 Opus [53]	59.8	+27.0	88.2	49.2	+32.0	81.2	50.8	47.4	55.3	48.3	
				Open-source	LMMs						
IDEFICS-9b-Instruct [54]	20.6	+4.4	25.0	20.2	-3.0	17.2	17.6	12.6	19.1	16.4	
IDEFICS-80b-Instruct [54]	20.6	+17.6	38.2	24.2	+0.4	24.6	18.4	20.6	19.5	22.4	
Owen-VI -Chat [6]	28.0	+30.0	58.0	16.0	+1.0	17.0	17.0	19.2	22.5	17.6	
Emu2 [55]	20.8	+28.4	49.2	-	-	-	19.6	-	20.2	-	
TransCore-M [56]	51.0	+28.2	79.2	-	_	-	27.4	-	39.2	-	
InternI M-XComposer-7h [57]	34.0	+21.6	55.6	_	_	_	21.6	_	27.8	_	
InternI M-XComposer 70 [57]	28.0	+46.0	74.0	_	_	_	23.8	_	25.9	_	
CogVI M-Chat [58]	40.8	+17.0	57.8	_	_	_	24.0	_	32.4	_	
Omnil MM-3b [59]	35.8	+29.0	64.8	_	_	_	24.0	_	30.3	_	
OmniLMM-12b [59]	34.2	+34.0	68.2	-	_	-	27.2	-	30.7	-	
Vi-VL-6b[60]	41.4	+30.4	71.8	_	_	_	27.0	_	34.2	_	
Yi-VI -34b [60]	32.6	+29.4	62.0	_	_	_	21.0	_	27.0	_	
InstructBLIP-FlanT5-x1[61]	35.8	+22.2	58.0	_	_	_	19.0	_	27.0	_	
InstructBLIP-FlanT5-xx1 [61]	36.2	+20.4	56.6	_	_	_	26.8	_	31.5	_	
InstructBLIP-Vicuna-7b [61]	21.0	-34	17.6	_	_	_	12.8	_	16.9	_	
InstructBLIP Vieuna 13b [61]	21.0	+5.2	27.4	-	-	_	15.6		18.0	-	
Monkey Chat [62]	27.2	+22.8	50.0	-	-	_	18.2		22.7	-	
L aVA 1 5-7b [63]	32.8	+22.0	60.6	-	-	_	22.8		27.8	-	
LLaVA-1.5-70 [05]	25.0	+41.2	66.2			-	20.2	-	27.6		
LLa VA-1.5-150 [05]	25.0	T41.2	00.2	Text-only	innut		20.2	-	22.0		
Gemini-Pro 1 5 Flash [32]	48.0	+33.4	81.4	39.2	+34.4	73.6	51.0	35.8	49.5	37.5	
Semini Pro Pis Pitasi [52]	10.0	10011	01.1	VLM	2	7510	5110	5510	17.0	5710	
CLIP ViT-H-14-378-quickgelu [50]	41.8	+50.6	92.4	42.6	+53.4	96.0	30.6	30.0	36.2	36.3	
MetaCLIP ViT-H-14-quickgelu [50]	36.6	+53.2	89.8	35.4	+54.8	90.2	24.2	25.2	30.4	30.3	
Google Multimodal Embedding [66]	47.6	+46.2	93.8	54.4	+44.0	98.4	28.2	28.4	37.9	41.4	
Soogle Multiliouu Embedding [00]	1710	110.2	Liuma		no mon tool	*)	20.2	20.1	0.10		
Human $(u + \sigma)$	86 4+8 24	+10.7	100 0	78 1 + 8 24	115 per task	100.0					
GPT_{Ao}	72.0	+10.7	100.0	76.0	+24.0	100.0	-	-	-	-	
Gemini Pro 1 5	84.0	+16.0	100.0	72.0	+24.0	100.0	-	-	-	-	
CLID ViT H 14-378 quickgeby	48.0	+10.0	02.0	56.0	+44.0	100.0	-	-	-	-	
TransCore M	36.0	+48.0	84.0	50.0	+++.0	100.0	-	-	-	-	
114115-010-191	50.0	-T+0.U	04.0	-	-	-	-	-	-	-	

Table 2: **Performance on SciFIBench**. Results for questions with adversarial and randomly-selected negatives are shown for the CS question set, along with the difference between them. *25 questions per task were randomly selected for the human baseline experiments with model scores shown for the same subset of questions. For the adversarial negatives, the human score is calculated as a mean of 5 participants, while only one human conducted the random negatives evaluation.

4.3 Main Results

To gauge the abilities of frontier LMMs to interpret scientific figures, we evaluate a diverse set of LMMs and other baselines on SciFIBench, the results for which are displayed in Tab. 2. Note, our core analysis is in reference to results obtained on the adversarially generated question negatives. We present our key findings as follows:

SciFIBench represents a difficult benchmark. The best-performing models, GPT-40 and Gemini 1.5 Flash, attain scores of 73.8% and 70.1% for the Figure \rightarrow Caption task, and 65.4% and 66.1% for the Caption \rightarrow Figure tasks, respectively. This shows that even at the frontier there is room for improvement. Among the weaker models, there is much more headroom, with the weakest models only just equalling or surpassing the chance score. Overall, there is a large spread of performance scores across the models, suggesting the benchmark has a good range of question difficulties.

Closed-source models are noticeably better than open-source models. Considering the Figure \rightarrow Caption task, there is a difference of 34.6% between the scores of the best closed and open-sourced models. Moreover, the best-performing open-source model, TransCore-M underperforms the worst closed-source model. This difference is more pronounced for the Caption \rightarrow Figure task.

Adversarially selected negatives are more challenging. As an ablation, we compare model performance when answering questions with adversarially selected multiple-choice negatives and randomly selected negatives (see Tab. 2 coloured text). As expected, in the vast majority of cases, accuracy scores are higher on the random negatives – for some open-source models, the accuracy score more than doubles, and for the closed-source models, the maximum accuracy score is almost met. However, for the open-source models evaluated on the Caption \rightarrow Figure task, there is almost no change in performance between the adversarial and random negative settings. Given that the scores are close to the chance score, it is likely this task is too challenging for these models.

Caption \rightarrow **Figure is more difficult than Figure** \rightarrow **Caption.** Multi-image tasks are known to be challenging to LMMs [72], and slightly higher overall scores are attained on the Figure \rightarrow Caption task, especially in the random negatives setting. Considering the human baseline, a noticeably lower score is attained on the Caption \rightarrow Figure task, suggesting it is easier for humans to distinguish fine-grained details in the text domain. The VLM baselines show no discernible difference in performance across the tasks, a possible reflection of their pretraining strategy of jointly aligning language and vision.

Performance does not necessarily scale with model size. Considering the models that we evaluate multiple checkpoint sizes (*e.g.*, IDEFICS, OmniLMM, Yi, etc.), we find that more often than not, the *smaller* model outperforms the larger checkpoint on the adversarially selected negatives, however, the opposite is true for the randomly selected negatives. Additionally, the difference in performance is more pronounced on the randomly selected negatives.

CLIP remains a strong baseline. Across both tasks, on questions with adversarial negatives, the CLIP baseline performs comparably or superior to the leading open-source models, though is beaten by the closed-source models. When negatives are randomly selected, CLIP far surpasses the open-source models, almost equalling GPT-4V and the Gemini-Pro models.

Humans are a stronger baseline. The mean human baseline outperforms all the models, though does not achieve a perfect score, reflecting the challenging nature of SciFIBench and the fact that the participants were not necessarily domain experts. As indicated by the standard deviation, a range of accuracy scores were recorded for each task, with some participants scoring equal or lower than the best LMMs. It is worth noting a caveat to the human performance is that the human verification part of the curation process could have introduced bias toward questions that are 'easier' for humans to answer. **OCR.** As detection of fine-grained textual detail is a key component of scientific figure interpretation, it is not unexpected that an above-chance score can be attained in a text-only setting. However, given the significant difference in performance between the text-only and image settings, it is clear that interpretation of visual details is required to answer the majority of questions in our benchmark. In the Appendix, we include qualitative results for each task and examples of model output.

4.4 Curated vs. noisy data

Here, we provide evidence that although our benchmark is relatively small, it is sufficiently robust. We evaluate a subset of our models on both the curated and noisy CS question sets and find that in almost every case the ranking is preserved across the datasets – and in the case where the rankings switch, the performance differential between the two models is small – suggesting there is little information to be gained by evaluating on an arbitrarily larger dataset. Additionally, we conduct bootstrapping to estimate the variance of model performance on the curated dataset. Concretely, for each task, we sample with replacement 500 times from the relevant question set and evaluate the performance of Gemini-Pro Vision (middle-performing model capable of both tasks) on the sample. Repeating this process 100k times yields a mean accuracy and variance of (56.00, 0.05) and (52.40, 0.05) for the two tasks. This low variance provides further evidence that our curated dataset is sufficiently representative.



Figure 6: Gemini-1.5 Figure 7: **Error analysis.** *Left*: per-category performance on SciFIBench. Flash **k-shot results**. *Right*: answer refusal rate (0 indicates a valid answer for all questions).



Figure 8: **Error analysis**. Left: performance with publication year for both tasks, centre: performance with caption length for the Figure \rightarrow Caption task, and right: performance with mean figure size for the Caption \rightarrow Figure task. Shaded regions show 95% Wilson confidence intervals.

Model	Ranking ($\mu \pm SD$)					
	Claude 3.5 Sonnet	GPT-40	Gemini 1.5 Pro	Combined		
GPT-4 Turbo	2.27 ± 1.35	2.13 ± 1.20	2.07 ± 1.19	2.16 ± 1.12		
Gemini 1.5 Flash	3.09 ± 1.64	2.92 ± 1.63	2.98 ± 1.61	3.00 ± 1.28		
Claude 3 Sonnet	3.77 ± 2.61	4.14 ± 2.53	3.54 ± 2.31	3.82 ± 1.59		
Claude 3 Haiku	4.00 ± 2.11	4.37 ± 2.25	4.56 ± 1.98	4.31 ± 1.47		
Gemini 1.0 Pro Vision	4.72 ± 2.16	4.22 ± 1.92	4.33 ± 1.87	4.42 ± 1.42		
True Caption	6.22 ± 2.03	5.72 ± 1.93	5.67 ± 2.17	5.87 ± 1.45		
Qwen VL Chat	5.86 ± 2.03	5.77 ± 1.67	6.06 ± 1.73	5.90 ± 1.35		
TransCore-M	7.85 ± 1.51	8.05 ± 1.37	8.09 ± 1.27	8.00 ± 1.18		
OmniLMM 3b	8.57 ± 1.27	8.75 ± 1.32	8.83 ± 1.13	8.72 ± 1.12		
Yi VL 6b	8.65 ± 1.53	8.93 ± 1.20	8.87 ± 1.22	8.82 ± 1.15		

Table 3: Caption generation ranking across 100 test samples. Best ranking is 1, worst is 10.

We also analyse the degree to which the noisy data can be used to provide few-shot examples. We experimented with both 'random' and 'similar' (selected based on similarity) examples. The results for Gemini 1.5 Flash are shown in Tab.6. We find that model performance is sensitive to the prompt and with some prompt structures, the presence of examples decreases performance. However, with certain prompts as the results show, incorporating potentially noisy examples can quantitatively improve performance compared to the 0-shot setting. Additionally, a qualitative review of the outputs suggests that the model's responses more closely follow the instructed format when examples are included, which reduces the need for an LLM to parse the correct answer from the LMM output. The finding that performance can decline with increased shots in certain scenarios is consistent with other works, *e.g.* [25].

4.5 Error analysis

Fig.7 displays a decomposition of performance by arXiv category for the Figure \rightarrow Caption task for a subset of the evaluated models. We find that the relative rankings of models remain broadly consistent across categories. However, there are clear differences in performance across the categories with most models scoring highly on 'stat' questions and much lower on 'q-bio'. Refusal rates to provide a multiple-choice answers for every question was low among the evaluated LMMs, though models with a higher proclivity for verbose outputs tended to be less decisive. We include an analysis of performance across different properties of the figure-caption pairs making up each question, including the publication year of the source arXiv paper, caption length and figure size, in Fig.8. In general, there is no clear evidence of publication year and figure size having any impact on model performance, though a slight macro-trend of decreasing performance with increasing caption length is observed.

4.6 Caption generation

We extend the breadth of our evaluation by assessing the capabilities of the LMMs to generate suitable captions for scientific figures. Initially, we construct a test set by randomly sampling 100 figure-caption pairs from the Figure→Caption task. We then prompt a set of test LMM baselines to generate captions for each figure and select the GPT-40, Gemini-1.5 Pro, Claude 3.5 Sonnet [73] models to evaluate the generated captions. These specific models were chosen as evaluators because they are 'stronger' than the test models on most benchmarks and are from different model families, reducing potential bias. The generated captions were shuffled with the true caption and passed to the evaluator models to rank. We report the rankings across all samples in Tab.3. The results clearly delineate preference among the test models with the closed-source models outperforming the open-source models (as they do on SciFIBench). **Captions generated by all closed-source models are preferred over the true caption**. Conversely, the true captions are preferred over all the open-source model captions. **Strong agreement is shown between the evaluator models**. Further details can be found in the Appendix.

4.7 Alignment

A central motivation of this work is guiding the progress of LMMs to conduct scientific research. However, if LMMs are to be utilised as a tool for scientific acceleration, it is crucial to ensure they are aligned and the degree to which they can reliably follow instructions is known. To this end, we devise a small-scale experiment to probe this instruction-following ability and see if the models reason faithfully or are prone to 'cheating'. In addition to a control baseline, we create 4 different augmentations of the SciFIBench CS Figure \rightarrow Caption questions (see Fig. 9). In two of the augmentations, we mark the true caption as <Correct>. In one of these, we additionally instruct the model to *ignore*

this extra information. For the remaining two augmentations, we repeat this process, however, we mark a randomly chosen *incorrect* caption as <Correct>. For a selection of models, we evaluate the performance accuracy on each augmented question set and display the results in Fig. 10.

Annotating an answer as correct significantly changes performance. We find that for all models, marking the correct answer has a noticeable increase in performance relative to the baseline. Similarly, marking the incorrect answer as correct consistently decreases the performance relative to the baseline. There are also clear differences in sensitivity to this new information. For example, the performance relative to the baseline for Qwen-VL and Gemini-Pro Vision varies at most 30%, whereas for models like LLaVA-1.5 and OmniLMM, the difference exceeds 50%.

Some models are better at following instructions. We can obtain a gauge of the alignment of the models by analysing the degree to which instruction to ignore the <Correct> annotation is followed. In almost every case, we find that the instruction does cause the performance to change in the desired direction (*i.e.*, towards the baseline score), though the amount of change varies depending on the model. For example, the performance of OmniLMM and TransCore-M shows almost no difference when instructed to ignore the annotation, suggesting weaker instruction-following. Whereas, the performance of CogVLM in particular changes drastically with the additional instruction.



Figure 9: Alignment experiment overview. We create 4 augmentations of the baseline Figure \rightarrow Caption questions with different information and instructions.



Figure 10: **Performance comparison on the augmented question sets**. Note, the labelled percentage changes reflect the change in accuracy relative to the baseline.

5 Conclusions

We introduce the Scientific Figure Interpretation Benchmark (SciFIBench) to evaluate the capabilities of LMMs to interpret and understand scientific figures. We curate the multiple-choice questions in our benchmark using arXiv paper figure-captions pairs from the SciCap [37] and ArXivCap [47] datasets and employ adversarial filtering to select hard negatives, increasing the difficulty of our benchmark. We use human verification when selecting questions to construct a robust, high-quality dataset that can be used to efficiently evaluate future models without the need for extensive compute or API credits. We benchmark the performance of 32 LMM, VLM and human baselines on SciFIBench, finding it to be challenging, with room for improvement. Finally, we analyse the alignment and instruction following abilities of the LMMs when answering questions in our benchmark. We release our dataset for the community to use and hope our work encourages further research in this important domain.

Acknowledgements

This work was supported by the UKRI Centre for Doctoral Training in Application of Artificial Intelligence to the study of Environmental Risks (reference EP/S022961/1), an Isaac Newton Trust grant, a research gift from Google, an EPSRC HPC grant, the Hong Kong Research Grant Council - Early Career Scheme (Grant No. 27208022) and HKU Seed Fund for Basic Research. Samuel would like to acknowledge the support of Z. Novak and N. Novak in enabling his contribution. We thank Akash Gupta, Ansh Sharma, Arduin Findeis and Florian Langer for their valuable assistance in conducting the human baseline evaluations for our benchmark.

References

- [1] Rishi Bommasani, Drew A Hudson, Ehsan Adeli, Russ Altman, Simran Arora, Sydney von Arx, Michael S Bernstein, Jeannette Bohg, Antoine Bosselut, Emma Brunskill, et al. On the opportunities and risks of foundation models. *arXiv preprint arXiv:2108.07258*, 2021.
- [2] Josh Achiam, Steven Adler, Sandhini Agarwal, Lama Ahmad, Ilge Akkaya, Florencia Leoni Aleman, Diogo Almeida, Janko Altenschmidt, Sam Altman, Shyamal Anadkat, et al. Gpt-4 technical report. arXiv preprint arXiv:2303.08774, 2023.
- [3] Hugo Touvron, Thibaut Lavril, Gautier Izacard, Xavier Martinet, Marie-Anne Lachaux, Timothée Lacroix, Baptiste Rozière, Naman Goyal, Eric Hambro, Faisal Azhar, et al. Llama: Open and efficient foundation language models. arXiv preprint arXiv:2302.13971, 2023.
- [4] Aakanksha Chowdhery, Sharan Narang, Jacob Devlin, Maarten Bosma, Gaurav Mishra, Adam Roberts, Paul Barham, Hyung Won Chung, Charles Sutton, Sebastian Gehrmann, et al. Palm: Scaling language modeling with pathways. *Journal of Machine Learning Research*, 24(240):1–113, 2023.
- [5] OpenAI. Gpt-4v(ision) system card. 2023.
- [6] Jinze Bai, Shuai Bai, Shusheng Yang, Shijie Wang, Sinan Tan, Peng Wang, Junyang Lin, Chang Zhou, and Jingren Zhou. Qwen-vl: A frontier large vision-language model with versatile abilities. arXiv preprint arXiv:2308.12966, 2023.
- [7] Gemini Team, Rohan Anil, Sebastian Borgeaud, Yonghui Wu, Jean-Baptiste Alayrac, Jiahui Yu, Radu Soricut, Johan Schalkwyk, Andrew M Dai, Anja Hauth, et al. Gemini: a family of highly capable multimodal models. arXiv preprint arXiv:2312.11805, 2023.
- [8] Danny Driess, Fei Xia, Mehdi SM Sajjadi, Corey Lynch, Aakanksha Chowdhery, Brian Ichter, Ayzaan Wahid, Jonathan Tompson, Quan Vuong, Tianhe Yu, et al. Palm-e: An embodied multimodal language model. arXiv preprint arXiv:2303.03378, 2023.
- [9] Zheng Yuan, Hongyi Yuan, Chuanqi Tan, Wei Wang, and Songfang Huang. How well do large language models perform in arithmetic tasks? *arXiv preprint arXiv:2304.02015*, 2023.
- [10] Zhengyuan Yang, Linjie Li, Kevin Lin, Jianfeng Wang, Chung-Ching Lin, Zicheng Liu, and Lijuan Wang. The dawn of lmms: Preliminary explorations with gpt-4v (ision). *arXiv preprint arXiv:2309.17421*, 9(1):1, 2023.
- [11] Seowoo Lee, Jiwon Youn, Mansu Kim, and Soon Ho Yoon. Cxr-llava: Multimodal large language model for interpreting chest x-ray images. arXiv preprint arXiv:2310.18341, 2023.
- [12] Chaoyi Wu, Jiayu Lei, Qiaoyu Zheng, Weike Zhao, Weixiong Lin, Xiaoman Zhang, Xiao Zhou, Ziheng Zhao, Ya Zhang, Yanfeng Wang, et al. Can gpt-4v (ision) serve medical applications? case studies on gpt-4v for multimodal medical diagnosis. arXiv preprint arXiv:2310.09909, 2023.
- [13] Debadutta Dash, Rahul Thapa, Juan M Banda, Akshay Swaminathan, Morgan Cheatham, Mehr Kashyap, Nikesh Kotecha, Jonathan H Chen, Saurabh Gombar, Lance Downing, et al. Evaluation of gpt-3.5 and gpt-4 for supporting real-world information needs in healthcare delivery. arXiv preprint arXiv:2304.13714, 2023.

- [14] Jungo Kasai, Yuhei Kasai, Keisuke Sakaguchi, Yutaro Yamada, and Dragomir Radev. Evaluating gpt-4 and chatgpt on japanese medical licensing examinations. arXiv preprint arXiv:2303.18027, 2023.
- [15] Shijie Wu, Ozan Irsoy, Steven Lu, Vadim Dabravolski, Mark Dredze, Sebastian Gehrmann, Prabhanjan Kambadur, David Rosenberg, and Gideon Mann. Bloomberggpt: A large language model for finance. arXiv preprint arXiv:2303.17564, 2023.
- [16] Hongyang Yang, Xiao-Yang Liu, and Christina Dan Wang. Fingpt: Open-source financial large language models. arXiv preprint arXiv:2306.06031, 2023.
- [17] Sébastien Bubeck, Varun Chandrasekaran, Ronen Eldan, Johannes Gehrke, Eric Horvitz, Ece Kamar, Peter Lee, Yin Tat Lee, Yuanzhi Li, Scott Lundberg, et al. Sparks of artificial general intelligence: Early experiments with gpt-4. arXiv preprint arXiv:2303.12712, 2023.
- [18] Jonathan Roberts, Timo Lüddecke, Sowmen Das, Kai Han, and Samuel Albanie. Gpt4geo: How a language model sees the world's geography. *arXiv preprint arXiv:2306.00020*, 2023.
- [19] Jonathan Roberts, Timo Lüddecke, Rehan Sheikh, Kai Han, and Samuel Albanie. Charting new territories: Exploring the geographic and geospatial capabilities of multimodal llms. arXiv preprint arXiv:2311.14656, 2023.
- [20] Mohamed Nejjar, Luca Zacharias, Fabian Stiehle, and Ingo Weber. Llms for science: Usage for code generation and data analysis. *Journal of Software: Evolution and Process*, page e2723, 2023.
- [21] Microsoft Research AI4Science and Microsoft Azure Quantum. The impact of large language models on scientific discovery: a preliminary study using gpt-4. arXiv preprint arXiv:2311.07361, 2023.
- [22] Kevin Maik Jablonka, Qianxiang Ai, Alexander Al-Feghali, Shruti Badhwar, Joshua D Bocarsly, Andres M Bran, Stefan Bringuier, L Catherine Brinson, Kamal Choudhary, Defne Circi, et al. 14 examples of how llms can transform materials science and chemistry: a reflection on a large language model hackathon. *Digital Discovery*, 2(5):1233–1250, 2023.
- [23] Bassel Almarie, Paulo EP Teixeira, Kevin Pacheco-Barrios, Carlos Augusto Rossetti, and Felipe Fregni. Editorial–the use of large language models in science: Opportunities and challenges. *Principles and practice of clinical research (2015)*, 9(1):1, 2023.
- [24] Siwei Wu, Yizhi Li, Kang Zhu, Ge Zhang, Yiming Liang, Kaijing Ma, Chenghao Xiao, Haoran Zhang, Bohao Yang, Wenhu Chen, et al. Scimmir: Benchmarking scientific multi-modal information retrieval. *arXiv preprint arXiv:2401.13478*, 2024.
- [25] Xiang Yue, Yuansheng Ni, Kai Zhang, Tianyu Zheng, Ruoqi Liu, Ge Zhang, Samuel Stevens, Dongfu Jiang, Weiming Ren, Yuxuan Sun, et al. Mmmu: A massive multi-discipline multimodal understanding and reasoning benchmark for expert agi. arXiv preprint arXiv:2311.16502, 2023.
- [26] Yuan Liu, Haodong Duan, Yuanhan Zhang, Bo Li, Songyang Zhang, Wangbo Zhao, Yike Yuan, Jiaqi Wang, Conghui He, Ziwei Liu, et al. Mmbench: Is your multi-modal model an all-around player? arXiv preprint arXiv:2307.06281, 2023.
- [27] Pan Lu, Hritik Bansal, Tony Xia, Jiacheng Liu, Chunyuan Li, Hannaneh Hajishirzi, Hao Cheng, Kai-Wei Chang, Michel Galley, and Jianfeng Gao. Mathvista: Evaluating mathematical reasoning of foundation models in visual contexts. arXiv preprint arXiv:2310.02255, 2023.
- [28] Bohao Li, Rui Wang, Guangzhi Wang, Yuying Ge, Yixiao Ge, and Ying Shan. Seed-bench: Benchmarking multimodal llms with generative comprehension. arXiv preprint arXiv:2307.16125, 2023.
- [29] Bohao Li, Yuying Ge, Yixiao Ge, Guangzhi Wang, Rui Wang, Ruimao Zhang, and Ying Shan. Seed-bench: Benchmarking multimodal large language models. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 13299–13308, 2024.
- [30] Rowan Zellers, Ari Holtzman, Yonatan Bisk, Ali Farhadi, and Yejin Choi. Hellaswag: Can a machine really finish your sentence? *arXiv preprint arXiv:1905.07830*, 2019.

- [31] OpenAI. Hello gpt-40, May 2024.
- [32] Machel Reid, Nikolay Savinov, Denis Teplyashin, Dmitry Lepikhin, Timothy Lillicrap, Jean-baptiste Alayrac, Radu Soricut, Angeliki Lazaridou, Orhan Firat, Julian Schrittwieser, et al. Gemini 1.5: Unlocking multimodal understanding across millions of tokens of context. arXiv preprint arXiv:2403.05530, 2024.
- [33] Ahmed Masry, Do Xuan Long, Jia Qing Tan, Shafiq Joty, and Enamul Hoque. Chartqa: A benchmark for question answering about charts with visual and logical reasoning. *arXiv preprint arXiv:2203.10244*, 2022.
- [34] Nitesh Methani, Pritha Ganguly, Mitesh M Khapra, and Pratyush Kumar. Plotqa: Reasoning over scientific plots. In *Proceedings of the IEEE/CVF Winter Conference on Applications of Computer Vision*, pages 1527–1536, 2020.
- [35] Samira Ebrahimi Kahou, Vincent Michalski, Adam Atkinson, Ákos Kádár, Adam Trischler, and Yoshua Bengio. Figureqa: An annotated figure dataset for visual reasoning. *arXiv preprint arXiv:1710.07300*, 2017.
- [36] Zeba Karishma, Shaurya Rohatgi, Kavya Shrinivas Puranik, Jian Wu, and C Lee Giles. Acl-fig: A dataset for scientific figure classification. *arXiv preprint arXiv:2301.12293*, 2023.
- [37] Ting-Yao Hsu, C Lee Giles, and Ting-Hao'Kenneth' Huang. Scicap: Generating captions for scientific figures. *arXiv preprint arXiv:2110.11624*, 2021.
- [38] Zhishen Yang, Raj Dabre, Hideki Tanaka, and Naoaki Okazaki. Scicap+: A knowledge augmented dataset to study the challenges of scientific figure captioning. *arXiv preprint arXiv:2306.03491*, 2023.
- [39] Ting-Yao Hsu, Chieh-Yang Huang, Ryan Rossi, Sungchul Kim, C Lee Giles, and Ting-Hao K Huang. Gpt-4 as an effective zero-shot evaluator for scientific figure captions. arXiv preprint arXiv:2310.15405, 2023.
- [40] Benny J Tang, Angie Boggust, and Arvind Satyanarayan. Vistext: A benchmark for semantically rich chart captioning. *arXiv preprint arXiv:2307.05356*, 2023.
- [41] Ashish Singh, Prateek Agarwal, Zixuan Huang, Arpita Singh, Tong Yu, Sungchul Kim, Victor Bursztyn, Nikos Vlassis, and Ryan A Rossi. Figcaps-hf: A figure-to-caption generative framework and benchmark with human feedback. arXiv preprint arXiv:2307.10867, 2023.
- [42] Peng Xu, Wenqi Shao, Kaipeng Zhang, Peng Gao, Shuo Liu, Meng Lei, Fanqing Meng, Siyuan Huang, Yu Qiao, and Ping Luo. Lvlm-ehub: A comprehensive evaluation benchmark for large vision-language models. arXiv preprint arXiv:2306.09265, 2023.
- [43] Chaoyou Fu, Peixian Chen, Yunhang Shen, Yulei Qin, Mengdan Zhang, Xu Lin, Jinrui Yang, Xiawu Zheng, Ke Li, Xing Sun, et al. Mme: A comprehensive evaluation benchmark for multimodal large language models. arXiv preprint arXiv:2306.13394, 2023.
- [44] Weihao Yu, Zhengyuan Yang, Linjie Li, Jianfeng Wang, Kevin Lin, Zicheng Liu, Xinchao Wang, and Lijuan Wang. Mm-vet: Evaluating large multimodal models for integrated capabilities. arXiv preprint arXiv:2308.02490, 2023.
- [45] Zhenfei Yin, Jiong Wang, Jianjian Cao, Zhelun Shi, Dingning Liu, Mukai Li, Xiaoshui Huang, Zhiyong Wang, Lu Sheng, Lei Bai, et al. Lamm: Language-assisted multi-modal instruction-tuning dataset, framework, and benchmark. Advances in Neural Information Processing Systems, 36, 2024.
- [46] Tianrui Guan, Fuxiao Liu, Xiyang Wu Ruiqi Xian Zongxia Li, Xiaoyu Liu Xijun Wang, Lichang Chen Furong Huang Yaser Yacoob, and Dinesh Manocha Tianyi Zhou. Hallusionbench: An advanced diagnostic suite for entangled language hallucination & visual illusion in large vision-language models. arXiv e-prints, pages arXiv–2310, 2023.
- [47] Lei Li, Yuqi Wang, Runxin Xu, Peiyi Wang, Xiachong Feng, Lingpeng Kong, and Qi Liu. Multimodal arxiv: A dataset for improving scientific comprehension of large vision-language models. arXiv preprint arXiv:2403.00231, 2024.

- [48] Alec Radford, Jong Wook Kim, Chris Hallacy, Aditya Ramesh, Gabriel Goh, Sandhini Agarwal, Girish Sastry, Amanda Askell, Pamela Mishkin, Jack Clark, et al. Learning transferable visual models from natural language supervision. In *International conference on machine learning*, pages 8748–8763. PMLR, 2021.
- [49] Alex Fang, Albin Madappally Jose, Amit Jain, Ludwig Schmidt, Alexander Toshev, and Vaishaal Shankar. Data filtering networks. *arXiv preprint arXiv:2309.17425*, 2023.
- [50] Gabriel Ilharco, Mitchell Wortsman, Ross Wightman, Cade Gordon, Nicholas Carlini, Rohan Taori, Achal Dave, Vaishaal Shankar, Hongseok Namkoong, John Miller, Hannaneh Hajishirzi, Ali Farhadi, and Ludwig Schmidt. Openclip, July 2021.
- [51] Matthijs Douze, Alexandr Guzhva, Chengqi Deng, Jeff Johnson, Gergely Szilvasy, Pierre-Emmanuel Mazaré, Maria Lomeli, Lucas Hosseini, and Hervé Jégou. The faiss library. arXiv preprint arXiv:2401.08281, 2024.
- [52] OpenAI. New models and developer products announced at DevDay. https://openai.com/ index/new-models-and-developer-products-announced-at-devday/, Nov 2023.
- [53] Anthropic. Introducing the next generation of claude, Mar 2024.
- [54] Hugo Laurençon, Lucile Saulnier, Léo Tronchon, Stas Bekman, Amanpreet Singh, Anton Lozhkov, Thomas Wang, Siddharth Karamcheti, Alexander Rush, Douwe Kiela, et al. Obelics: An open web-scale filtered dataset of interleaved image-text documents. Advances in Neural Information Processing Systems, 36, 2024.
- [55] Quan Sun, Yufeng Cui, Xiaosong Zhang, Fan Zhang, Qiying Yu, Yueze Wang, Yongming Rao, Jingjing Liu, Tiejun Huang, and Xinlong Wang. Generative multimodal models are in-context learners. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 14398–14409, 2024.
- [56] PCIResearch. Transcore-m. https://github.com/PCIResearch/TransCore-M, 2023.
- [57] InternLM Team. Internlm: A multilingual language model with progressively enhanced capabilities. https://github.com/InternLM/InternLM, 2023.
- [58] Weihan Wang, Qingsong Lv, Wenmeng Yu, Wenyi Hong, Ji Qi, Yan Wang, Junhui Ji, Zhuoyi Yang, Lei Zhao, Xixuan Song, Jiazheng Xu, Bin Xu, Juanzi Li, Yuxiao Dong, Ming Ding, and Jie Tang. Cogylm: Visual expert for pretrained language models. arXiv preprint arXiv:2311.03079, 2023.
- [59] OpenBMB. Omnilmm. https://https://github.com/OpenBMB/OmniLMM, 2024.
- [60] 01-ai. Yi. https://https://github.com/01-ai/Yi, 2023.
- [61] Wenliang Dai, Junnan Li, Dongxu Li, Anthony Meng Huat Tiong, Junqi Zhao, Weisheng Wang, Boyang Li, Pascale Fung, and Steven Hoi. Instructblip: Towards general-purpose vision-language models with instruction tuning. *arXiv preprint arXiv:2305.06500*, 2023.
- [62] Zhang Li, Biao Yang, Qiang Liu, Zhiyin Ma, Shuo Zhang, Jingxu Yang, Yabo Sun, Yuliang Liu, and Xiang Bai. Monkey: Image resolution and text label are important things for large multi-modal models. *arXiv preprint arXiv:2311.06607*, 2023.
- [63] Haotian Liu, Chunyuan Li, Yuheng Li, and Yong Jae Lee. Improved baselines with visual instruction tuning. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 26296–26306, 2024.
- [64] Jaided AI. Easyocr. https://github.com/JaidedAI/EasyOCR, 2023.
- [65] Hu Xu, Saining Xie, Xiaoqing Ellen Tan, Po-Yao Huang, Russell Howes, Vasu Sharma, Shang-Wen Li, Gargi Ghosh, Luke Zettlemoyer, and Christoph Feichtenhofer. Demystifying clip data. *arXiv preprint arXiv:2309.16671*, 2023.
- [66] Google Vertex AI. Google vertex ai mm embedding model, 09/05/2024, May 2024.
- [67] OpenAI. Api reference. https://platform.openai.com/docs/api-reference, 2024.

- [68] Google. Vertex ai. https://cloud.google.com/vertex-ai/, 2024.
- [69] Thomas Wolf, Lysandre Debut, Victor Sanh, Julien Chaumond, Clement Delangue, Anthony Moi, Pierric Cistac, Tim Rault, Rémi Louf, Morgan Funtowicz, Joe Davison, Sam Shleifer, Patrick von Platen, Clara Ma, Yacine Jernite, Julien Plu, Canwen Xu, Teven Le Scao, Sylvain Gugger, Mariama Drame, Quentin Lhoest, and Alexander M. Rush. Transformers: State-of-the-art natural language processing. In *Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing: System Demonstrations*, pages 38–45, Online, October 2020. Association for Computational Linguistics.
- [70] OpenCompass Contributors. Opencompass: A universal evaluation platform for foundation models. https://github.com/open-compass/opencompass, 2023.
- [71] Takeshi Kojima, Shixiang Shane Gu, Machel Reid, Yutaka Matsuo, and Yusuke Iwasawa. Large language models are zero-shot reasoners. *Advances in neural information processing systems*, 35:22199–22213, 2022.
- [72] Dongfu Jiang, Xuan He, Huaye Zeng, Cong Wei, Max Ku, Qian Liu, and Wenhu Chen. Mantis: Interleaved multi-image instruction tuning. *arXiv preprint arXiv:2405.01483*, 2024.
- [73] Anthropic. Claude 3.5 Sonnet. https://www.anthropic.com/news/claude-3-5-sonnet, Jun 2024.

Appendix

We structure this Appendix to our main paper into 10 parts. §A we include the datasets and benchmarks track submission checklist. §B we outline the intended uses and limitations of our SciFIBench dataset. §C we provide additional details and links to the data and code related to this project. §D we include details of how the human baseline was carried out. §E we provide additional compute details to those included in the main paper. §F we list the specific API model versions used for this work. §G we include the exact prompts used for the two tasks that make up SciFIBench. §H we provide additional quantitative experimental results, including further human baseline results, per-category results, and curated vs. noisy dataset model performance rankings. §I we demonstrate qualitative results on SciFIBench including example questions along with LMM output and reasoning, and we additionally provide examples of the LMM output before and after automatic evaluation. Finally, in §J will include examples of captions generated by the LMMs. To improve clarity, in this Appendix we format model inputs (*e.g.* prompts) as [Input] and model outputs as [Output].

A Checklist

1. For all authors...

- (a) Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope? [Yes]
- (b) Did you describe the limitations of your work? [Yes] See §B.
- (c) Did you discuss any potential negative societal impacts of your work? [N/A]
- (d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [Yes]
- 2. If you are including theoretical results...
 - (a) Did you state the full set of assumptions of all theoretical results? [N/A]
 - (b) Did you include complete proofs of all theoretical results? [N/A]
- 3. If you ran experiments (e.g. for benchmarks)...
 - (a) Did you include the code, data, and instructions needed to reproduce the main experimental results (either in the supplemental material or as a URL)? [Yes] See §C.
 - (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? [N/A]
 - (c) Did you report error bars (e.g., with respect to the random seed after running experiments multiple times)? [N/A]
 - (d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? [Yes] Compute requirements for inference are included in §4.2 of the main paper. Supplementary compute details can be found in §E.
- 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
 - (a) If your work uses existing assets, did you cite the creators? [Yes] We cite the creators of the SciCap [37] and ArXivCap [47] datasets which we build upon.
 - (b) Did you mention the license of the assets? [Yes] See §C.
 - (c) Did you include any new assets either in the supplemental material or as a URL? [Yes] See §C.
 - (d) Did you discuss whether and how consent was obtained from people whose data you're using/curating? [N/A] Consent was provided via the dataset license agreement (see §C).
 - (e) Did you discuss whether the data you are using/curating contains personally identifiable information or offensive content? [Yes] See §C.
- 5. If you used crowdsourcing or conducted research with human subjects...
 - (a) Did you include the full text of instructions given to participants and screenshots, if applicable? [Yes] See §D.
 - (b) Did you describe any potential participant risks, with links to Institutional Review Board (IRB) approvals, if applicable? [N/A] We identified no risk to participants.
 - (c) Did you include the estimated hourly wage paid to participants and the total amount spent on participant compensation? [Yes] See §D.

B Dataset intended uses and limitations

As mentioned in the main paper, our investigation focuses on our SciFIBench benchmark. The intended usage of this dataset is the evaluation of the capabilities of LMMs. None of the data contain personally identifiable information or offensive content.

In terms of limitations, we curated SciFIBench to ensure high-quality questions and answers and also feasible evaluation, however this came at the cost of volume. As such, the limited size of the benchmark means it is of limited usefulness for fine-tuning or pre-training.

C Data and code

C.1 Data

The SciFIBench dataset is available via HuggingFace at this link: https://huggingface.co/ datasets/jonathan-roberts1/SciFIBench. Following the underlying SciCap [37] and ArXiv-Cap [47] data, we give the dataset a **CC BY-NC-SA 4.0** license and bear responsibility for any license violation. The HuggingFace repository conveniently contains a dataset viewer from which the benchmark data can be viewed and downloaded. Additionally, Croissant metadata can be downloaded from this link.

C.2 Code

We include example code and instructions for (1) interacting with the SciFIBench HuggingFace datasets object, (2) inference on both tasks using closed- and open-source models and, (3) automatic LLM evaluation of answers. These assets can be found at the following repository: https://github.com/jonathan-roberts1/SciFIBench. We open-source our code through an **MIT** license.

C.3 Hosting and maintenance plan

We plan to continue hosting our dataset on HuggingFace, due to ease of integration in the datasets and transformers libraries and other useful features such as the dataset viewer. To ensure the longevity of the dataset, for the camera-ready version, we will include a DOI generated from the HuggingFace repository currently hosting SciFIBench. For the code, we provide a link to our GitHub repository containing evaluation code and other useful links.

We will continue to provide necessary maintenance to both of these repositories.

D Human baseline

Our human baseline was conducted on a 50-question subset of SciFIBench CS questions. The baseline was carried out via a Google form containing instructions and all the questions. All participants were given the same form that contained MCQ options in the same order as presented to the models. The form can be accessed at this link: https://forms.gle/Rgkk4UjU2oAPbxRM8. Participants were not compensated for taking part in our human baseline.

E Compute

To supplement the compute details provided in §4.2 of the main paper, we include further information here. Inference was by far the most compute-intensive element of this work, with other elements requiring negligible compute in comparison. For inference on SciFIBench, the typical open-source model required 1xA100 80GB GPU for ~45 minutes except the Emu2-Chat and IDEFICS-80b-Instruct models, which required 2xA100s.

F API model versions

These are the specific versions of the API models used in this work:

- GPT-4V: *gpt-4-vision-preview*
- GPT-4 Turbo: *gpt-4-turbo-2024-04-09*
- GPT-40: gpt-4o-2024-05-13

- Gemini-Pro Vision: gemini-pro-vision
- Gemini-Pro: gemini-1.0-pro-001
- Gemini-Pro 1.5: gemini-1.5-pro-preview-0409
- Gemini-Flash 1.5: gemini-1.5-flash-preview-0514
- Claude 3 Opus: claude-3-opus@20240229
- Claude 3 Sonnet: claude-3-sonnet@20240229
- Claude 3 Haiku: claude-3-haiku@20240307

G Prompt templates

G.1 Task prompts

Below, we include the prompt templates used in the Figure \rightarrow Caption task and Caption \rightarrow Figure task. These prompt templates were utilised by each model, however, in some cases minor modifications were implemented depending on prompting suggestions mentioned by model authors. Note, items in parenthesis – *e.g.*, {*Caption*} or {*Image*} – represent the placement of the actual image or caption within the prompt.

Figure \rightarrow Caption

```
"{Image}
A) {Caption1}
B) {Caption2}
C) {Caption3}
D) {Caption4}
E) {Caption5}
Which of the captions best describes the image? Let's think step by step. Only
provide the letter of the correct caption as your answer. Answer:"
```

Caption \rightarrow **Figure**

```
''A) {Image1}
B) {Image1}
C) {Image3}
D) {Image4}
E) {Image5}
{Caption}
Which of the images best matches the caption? Let's think step by step. Only
provide the letter of the correct image as your answer. Answer:''
```

G.2 Automatic evaluation

We use the following when prompting Gemini-Pro to automatically evaluate the output of all LMMs.

```
'Here is the output from a generative model:
{Model_Output}
The output contains the answer to a multiple choice question with options A) -
E). Return only the letter of the answer. If no answer is found, return None."
```

See Figs. 13 and 14 for examples of LMM output before and after reformatting with Gemini-Pro using the above prompt.

G.3 Caption generation

Generation

{Figure} "Provide a one sentence caption that describes the figure. Do not include prefixes such as "The figure shows" or "The plot shows". Include just the caption. Caption:"

Evaluation

```
"Your task is to rank the following captions in order of how well they represent
the figure. Figure: {Figure} A) <Caption A> ... J) <Caption J>
Rank the captions from best to worst. Return just a list of the ranked
captions, nothing else. For example: Ranked Captions: [A, B, E, F, G, J,
C, I, D, H]. Ranked Captions:"
```

H Extended quantitative results

H.1 Human baseline

The individual results for the human baseline are included below in Tab. 4. The questions were randomly sampled from the SciFIBench CS dataset (using adversarial negatives) and evaluated by 5 humans (comprising both undergraduate and postgraduate students).

Human/Model	Accuracy				
	Figure \rightarrow Caption	Caption \rightarrow Figure			
Human 1	96.0	92.0			
Human 2	88.0	68.0			
Human 3	84.0	72.0			
Human 4	72.0	80.0			
Human 5	92.0	80.0			
Mean Human	86.4	78.4			
GPT-40	72.0	76.0			
Gemini-Pro 1.5	84.0	72.0			

Table 4: **Extended human baseline results.** Human baseline accuracy on a 25 question-per-task subset of SciFIBench CS dataset. The best score for each task is in **bold**. Results on the same question set for the leading LMMs (GPT-40 and Gemini-Pro 1.5) are included as a comparison.

Several observations can be drawn from these results: (1) **Human variance** – Across both tasks, there is a high degree of variance on the accuracy scores of the different humans that answered the questions. (2) **Comparison to closed-source models** – Across most axes, the ranking of the human baseline above the leading LMM baselines (GPT-40 and Gemini-Pro 1.5) outlined in the main paper is preserved. Although on each task there are some humans that are outperformed by at least one of the LMMs, the mean human beats the LMMs, which are also either beaten or equalled by the median human.

It is worth acknowledging that this comparison to the human baseline has limitations. Firstly, this is a small sample that is not necessarily representative of the entire population, yet still has considerable variance. Another consideration is the learning that could occur during the question answering. Unlike the models, which answer each question independently, the humans answered the questions as part of a survey of 50 questions (25 per task). Although feedback was not given, it is possible, for example, that exposure to earlier questions influenced the approach taken to answering later questions.

H.2 Curated vs. noisy data rankings

To supplement the comparison of model rankings on the curated and noisy data in §4.4 of the main paper, we include Fig. 11 to illustrate how the model rankings are consistent across the datasets.



Figure 11: **Performance ranking comparison of models evaluated on the curated and noisy CS datasets.** We observe only minor variations in ranking between the datasets.

H.3 Performance Across Categories

We analyse the performance of a representative sample of models on the Figure \rightarrow Caption task across the 12 categories in the SciFIBench CS dataset. The results are displayed in Fig. 12 and comprehensive results for all models can be found in the Appendix. Although the different categories in our benchmark are all (at least partly) from the arXiv CS category, there is considerable variation in the style and type of figures within each category. This disparity is reflected in the difference in performance across categories: the average accuracy for the selected models differs by 22.5% between the best category (LG) and the worse category (AI). There is slight variation in ranking of the models across categories, but it remains fairly consistent.

In Tab. 5 below, we include full results for the per-category performance on the Figure \rightarrow Caption task of the SciFIBench CS dataset. This table expands on Fig. 12 by including scores for each model displayed in the graph, as well as results for additional models evaluated.

Model	Accuracy per category												
	cs.NI	cs.CV	cs.DC	cs.SY	cs.CR	cs.AI	cs.CL	cs.RO	cs.LG	cs.SE	other cs	cross-list	Total
GPT-4V	72.0	84.0	64.0	76.0	80.0	60.0	72.0	68.0	88.0	68.0	66.7	63.2	69.2
GPT-40	76.0	84.0	64.0	80.0	92.0	20.0	68.0	76.0	96.0	72.0	71.2	78.2	75.4
Gemini-Pro Vision	48.0	76.0	56.0	56.0	96.0	10.0	56.0	32.0	76.0	64.0	56.1	48.9	56.0
Gemini-Pro 1.5	76.0	88.0	52.0	84.0	76.0	60.0	60.0	72.0	92.0	80.0	72.0	75.2	74.2
Claude 3 Haiku	48.0	56.0	44.0	60.0	76.0	20.0	64.0	64.0	48.0	56.0	52.3	47.4	52.6
Claude 3 Sonnet	64.0	68.0	40.0	64.0	76.0	60.0	48.0	52.0	68.0	60.0	46.2	48.9	53.4
Claude 3 Opus	56.0	60.0	44.0	64.0	80.0	30.0	64.0	68.0	76.0	56.0	59.8	57.1	60.0
IDEFICS-9b-Instruct	4.0	32.0	24.0	20.0	12.0	20.0	16.0	24.0	44.0	12.0	18.9	21.8	20.6
IDEFICS-80b-Instruct	16.0	28.0	20.0	24.0	20.0	20.0	0.0	12.0	4.0	20.0	28.0	21.1	20.6
Qwen-VL-Chat	20.0	20.0	20.0	28.0	52.0	20.0	40.0	20.0	28.0	32.0	30.3	24.8	28.0
Emu2-Chat	12.0	32.0	20.0	16.0	40.0	20.0	20.0	8.0	20.0	36.0	21.2	17.3	20.8
TransCore-M	48.0	52.0	44.0	44.0	64.0	30.0	56.0	32.0	60.0	48.0	57.6	48.1	51.0
InternLM-XComposer-7b	32.0	40.0	44.0	40.0	32.0	50.0	40.0	32.0	44.0	36.0	25.8	34.6	34.0
InternLM-XComposer2-7b	16.0	24.0	20.0	28.0	40.0	20.0	20.0	36.0	36.0	40.0	40.9	14.3	28.0
CogVLM-Chat	40.0	32.0	48.0	40.0	44.0	20.0	40.0	40.0	56.0	52.0	40.2	38.3	40.8
OmniLMM-3b	36.0	32.0	24.0	24.0	36.0	20.0	60.0	40.0	56.0	20.0	36.4	35.3	35.8
OmniLMM-12b	40.0	40.0	32.0	40.0	56.0	20.0	52.0	32.0	40.0	36.0	34.8	23.3	34.2
Yi-VL-6b	44.0	36.0	36.0	24.0	60.0	40.0	36.0	44.0	64.0	44.0	40.9	39.1	41.4
Yi-VL-34b	32.0	44.0	20.0	20.0	48.0	10.0	32.0	28.0	60.0	40.0	31.1	30.1	32.6
InstructBLIP-FlanT5-x1	32.0	28.0	32.0	48.0	40.0	50.0	44.0	48.0	36.0	40.0	32.6	33.1	35.8
InstructBLIP-FlanT5-xxl	60.0	32.0	36.0	32.0	40.0	30.0	56.0	36.0	40.0	40.0	31.8	32.3	36.2
InstructBLIP-Vicuna-7b	16.0	32.0	20.0	28.0	24.0	30.0	12.0	28.0	24.0	16.0	18.9	20.3	21.0
InstructBLIP-Vicuna-13b	24.0	16.0	24.0	24.0	36.0	10.0	28.0	16.0	8.0	28.0	21.2	23.3	22.2
Monkey-Chat	16.0	20.0	12.0	28.0	56.0	20.0	48.0	20.0	16.0	28.0	32.6	22.6	27.2
LLaVA-1.5-7b	20.0	32.0	44.0	32.0	56.0	10.0	48.0	28.0	32.0	12.0	30.3	35.3	32.8
LLaVA-1.5-13b	20.0	28.0	16.0	16.0	44.0	20.0	32.0	16.0	28.0	28.0	25.8	24.1	25.0
CLIP ViT-H-14-378-quickgelu	40.0	52.0	32.0	32.0	52.0	20.0	64.0	32.0	64.0	32.0	40.2	40.6	41.8
MetaCLIP ViT-H-14-quickgelu	28.0	44.0	20.0	32.0	48.0	10.0	56.0	48.0	32.0	44.0	39.4	31.6	36.6
Google Multimodal Embedding	36.0	72.0	16.0	36.0	56.0	20.0	76.0	56.0	68.0	60.0	44.7	43.6	47.6
Total	37.0	44.3	33.7	39.3	52.8	26.6	45.0	38.1	48.6	41.7	39.6	37.1	39.9

Table 5: Full-per category performance on the SciFIBench CS dataset, Figure \rightarrow Caption task.



Figure 12: **Performance variation across question categories** for selected models on the Figure \rightarrow Caption task of the CS dataset.

I Qualitative results

In this section, we present qualitative results to complement the quantitative results included in the main paper and show additional example SciFIBench questions. We structure these results in the following way: (1) **Automatic postprocessing** – For selected questions, we provide examples (Figs. 13-14) of both the raw output from a selection of the LMMs evaluated, and the formatted output following automatic answer extraction by Gemini-Pro. (2) **Model reasoning** – For a number of example questions (Figs. 15-18), we provide the reasoning steps taken by the models when producing an answer.

I.1 Automatic postprocessing

In Figs. 13 and 14 we provide examples of LMM output before and after automatic evaluation by Gemini-Pro. The examples show that Gemini-Pro is able to extract the correct prediction from the unformatted LMM output, greatly aiding automatic evaluation. For most models, only minor output formatting is required as the correct letter answer is given at the start of the output. However in some cases, such as the output of CogVLM in Fig 14, which begins: '*The correct caption that best describes the image is*....', this is not the case and more involved reasoning is required to extract the correct answer choice.



Figure \rightarrow Caption: Which of the captions best describes the image?

Model outputs:

Model	Raw output	Gemini-Pro output	Answer correct?
GPT-4V [5]	D)	D	1
Gemini-Pro Vision [7]	D	D	1
	D) north-south flow duration curves for		
CogVLM [58]	2030 scenarios.	D	1
Yi-VL-6B [60]	С	C	x
	A) surowiec-south flow duration curves		
Qwen-VL [6]	for 2025 scenarios .	A	×
OmniLMM-3B [59]	С	C	x
	A) surowiec-south flow duration curves		
TransCore-M [56]	for 2025 scenarios .	A	×
	C) north-south flow duration curves for		
LLaVA-1.5-13b [11]	2025 scenarios .	C	×

Figure 13: **Example of automatic output evaluation by Gemini-Pro**. The example includes: (1) a randomly selected question from the SciFIBench CS dataset, Figure \rightarrow Caption task; and, (2) Example LMM outputs before (raw) and after answer extraction by Gemini-Pro. [Note, the correct answer is D)].





Model outputs:

Model	Raw output	Gemini-Pro output	Answer correct?
GPT-4V [5]	(E)	E	1
Gemini-Pro Vision [7]	A	A	×
	The correct caption that best describes the image is: E) expected lookup time progression with synthetic beau weight		
CogVLM [58]	workload and low churn.	E	1
Yi-VL-6B [60]	E	E	1
	A) expected lookup time progression with synthetic heavy weight workload		
Qwen-VL [6]	and temporally varying churn .	A	×
OmniLMM-3B [59]	В	В	×
TransCore-M [56]	A) expected lookup time progression with synthetic heavy weight workload and temporally varying churn .	A	×
	D) expected lookup time progression with synthetic heavy weight workload and high		
LLaVA-1.5-13b [11]	churn .	D	X

Figure 14: Another example of automatic output evaluation by Gemini-Pro. The example includes: (1) a randomly selected question from the SciFIBench CS dataset, Figure \rightarrow Caption task; and, (2) Example LMM outputs before (raw) and after answer extraction by Gemini-Pro. [Note, the correct answer is E)].

I.2 Model reasoning

In the following subsection, we provide a series of qualitative examples of LMM reasoning when answering questions from the SciFIBench CS dataset. Specifically, we amend the prompts given in Sec. G to the following:

Figure \rightarrow Caption

"{Image}
A) {Caption1}
B) {Caption2}
C) {Caption3}
D) {Caption4}
E) {Caption5}
Which of the captions best describes the image? Let's think step by step.
Answer:"

 $Caption \rightarrow Figure$

```
''A) {Image1}
B) {Image1}
C) {Image2}
C) {Image3}
D) {Image4}
E) {Image5}
{Caption}
Which of the images best matches the caption? Let's think step by step.
Answer:''
```

i.e., allowing the models to outline their reasoning steps by removing the output format constraint:

Only provide the letter of the correct caption as your answer.

We structure each of the following examples as (i) **Question** – showing the question figure(s) and caption(s); and (ii) **Outputs** – showing the outputs from a selection of the LMMs evaluated. We provide examples for the Figure \rightarrow Caption task (Figs. 15-16) and for the Caption \rightarrow Figure task (Figs. 17-18).





Figure 15: Example Figure \rightarrow Caption question. [Note, the correct answer is A].

Model outputs:

GPT-4V:

The image shows a graph with multiple lines representing different methods or algorithms, each plotted against two axes: "Budget" on the x-axis and "Accuracy" on the y-axis. The title of the graph is "Result Analysis on EX8b Dataset." Given the options provided: A) accuracy vs. budget curve on ex8b dataset. B) accuracy vs. budget curve on haberman dataset. C) accuracy vs. budget curve on splice dataset. D) accuracy vs. budget curve on german dataset. E) accuracy vs. budget curve on clean dataset. The caption that best describes the image is: A) accuracy vs. budget curve on ex8b dataset. This is because the title of the graph explicitly mentions the "EX8b Dataset," which matches the dataset mentioned in option A.

Gemini-Pro Vision:

The image shows multiple lines that track the accuracy of different algorithms as the budget increases. The caption describes the contents of the image well. So the answer is: A) accuracy vs. budget curve on ex8b dataset.

Qwen VL:

The image shows a graph with "Accuracy" on the y-axis and "Budget" on the x-axis. The graph represents the result analysis on the EX8b dataset. Therefore, the captions that best describe the image are: A) Accuracy vs. Budget curve on EX8b dataset B) Accuracy vs. Budget curve on Haberman dataset C) Accuracy vs. Budget curve on Splice dataset D) Accuracy vs. Budget curve on German dataset E) Accuracy vs. Budget curve on Clean dataset

OmniLMM-3B:

A) accuracy vs. budget curve on ex8b dataset

TransCore-M:

The image presents a graph titled "Retrieve Analysis on EXB Dataset". The x-axis of the graph is labeled "Accuracy" and the y-axis is labeled "Budget". The graph shows a series of curves, each representing a different dataset. The curves are colored differently, with blue, green, purple, and orange lines. The blue line represents the accuracy vs. budget curve on the EXB dataset, the green line represents the accuracy vs. budget curve on the Haberman dataset, the purple line represents the accuracy vs. budget curve on the Splice dataset, and the orange line represents the accuracy vs. budget curve on the German dataset. The graph provides a visual representation of the relationship between accuracy and budget for each dataset.

LLaVA-1.5-13b:

The image shows a graph with a curve that represents the accuracy of a machine learning model as a function of the budget. The graph is labeled with the dataset name, which is "ex8b". The curve demonstrates the trade-off between accuracy and budget, indicating that increasing the budget can lead to better accuracy.

Yi-VL-6b:

A) accuracy vs. budget curve on ex8b dataset

IDEFICS-9b-instruct:

Answer: E

CogVLM:

The correct option is C) accuracy vs. budget curve on splice dataset. This is because the image specifically mentions 'splice dataset' in its title and the x-axis represents the 'Budget'.</s>



Figure 16: Example Figure \rightarrow Caption question. [Note, the correct answer is E)].

Model outputs:

GPT-4V:

To determine which caption best describes the image, let's analyze the elements present in the graph: 1. The x-axis is labeled "Threshold value," which is on a logarithmic scale. 2. The y-axis is labeled "Number of nodes," which is also on a logarithmic scale. 3. There are three lines on the graph, each representing different values of σ (0.1, 0.01, 0.001). Given this information, the graph is showing the relationship between the "Threshold value" and the "Number of nodes" for different σ values. It does not provide any information about computation times or the use of a queue algorithm. Therefore, the caption that best describes the image is: E) number of explored nodes for randomly generated problems as a function of threshold δ .

This caption correctly identifies that the graph is showing the number of explored nodes (y-axis) as a function of the threshold value (x-axis) for different σ values.

Gemini-Pro Vision:

The image shows the number of nodes as a function of the threshold value for three different values of the standard deviation. The caption that best describes the image is "Number of explored nodes for randomly generated problems as a function of threshold δ ."

Qwen VL:

The image shows a graph with several lines representing different scenarios. The x-axis represents the number of nodes explored and the y-axis represents the threshold value. There are four scenarios shown in the graph: A) Number of explored nodes for randomly generated problems, B) Computation times for randomly generated problems, C) Number of explored nodes for randomly generated problems without queue algorithm, and D) Computation times for randomly generated problems as a function of threshold δ .

OmniLMM-3B:

E) number of explored nodes for randomly generated problems as a function f threshold δ .

TransCore-M:

The image presents a graph that illustrates the relationship between the number of explored nodes and the computation time for randomly generated problems. The x-axis of the graph represents the number of explored nodes, while the y-axis represents the computation time. The graph is divided into three distinct sections, each corresponding to a different threshold value.

The first section, labeled "A", shows a linear relationship between the number of explored nodes and the computation time. This suggests that as the number of explored nodes increases, the computation time also increases linearly.

The second section, labeled "B", shows a quadratic relationship between the number of explored nodes and the computation time. This indicates that as the number of explored nodes increases, the computation time increases at a faster rate than in the first section.

The third section, labeled "C", shows a linear relationship between the number of explored nodes and the computation time. This is similar to the first section, suggesting that the computation time increases linearly with the number of explored nodes.

The graph also includes a legend that explains the different colors used to represent the different threshold values. The legend states that the blue line represents the computation time for randomly generated problems, the orange line represents the computation time for randomly generated problems without queue algorithm, and the red line represents the computation time for randomly generated problems as a function of threshold δ .

Overall, the graph provides a clear visual representation of the impact of different threshold values on the computation time for randomly generated problems.

LLaVA-1.5-13b:

The image shows a graph with a blue line and an orange line. The blue line represents the number of explored nodes for randomly generated problems, while the orange line represents the computation times for randomly generated problems. The graph is labeled "threshold value" and is likely used to analyze the performance of a queue algorithm.

Yi-VL-6b:

D

IDEFICS-9b-instruct:

Answer: C

CogVLM:

The caption that best describes the image is: E) number of explored nodes for randomly generated problems as a function of threshold δ .</s>



Example 3: Caption \rightarrow Figure – Which of the figures best describes the caption?

Figure 17: Example Caption \rightarrow Figure question. [Note, the correct answer is E)].

Model outputs: *GPT-4V*:

The caption provided is "rank-25 cmc plot." To match this caption with the correct image, we need to look for a plot that is labeled with "Rank-25" on the y-axis and "Number of Clusters" on the x-axis. Let's examine each image: A) The y-axis is labeled "Rank-50," so this does not match the caption. B) The y-axis is labeled "Rank-50," so this does not match the caption. B) The y-axis

is labeled "Rank-5," so this does not match the caption. C) The y-axis is labeled "Rank-1," so this does not match the caption. D) The y-axis is labeled "Rank-10," so this does not match the caption. E) The y-axis is labeled "Rank-25," which matches the caption, and the x-axis is labeled "Number of Clusters." Based on the provided caption, the image that best matches is:

E) The plot with "Rank-25" on the y-axis and "Number of Clusters" on the x-axis.

Gemini-Pro Vision:

The caption is "rank-25 cmc plot". The image that best matches the caption is E. The x-axis of the image is "Number of Clusters", and the y-axis is "Rank-25". The caption is about the relationship between the number of clusters and the rank-25 cmc score. The image shows that the rank-25 cmc score increases as the number of clusters increases.

Example 4: Caption \rightarrow **Figure** – Which of the figures best describes the caption?

Caption: control signal of 2p for step input and load disturbance with istes based tuning .



Figure 18: Example Caption \rightarrow Figure question. [Note, the correct answer is E)].

Model outputs:

GPT-4V:

To find the image that best matches the caption, we need to look for a few key elements mentioned in the caption: 1. The caption refers to a "control signal for step input and load disturbance." 2. It mentions "plant P2," which suggests that we are looking for a graph related to a system or process labeled as "P2." 3. The caption also specifies "ISTES based tuning of different controllers." Now, let's examine each image: A) The title of this graph refers to "plant P1," which does not match the "plant P2" mentioned in the caption. B) This graph's title correctly refers to "plant P2," and it also mentions "ITSE based tuning of different controllers," which is similar to "ISTES based tuning" in the caption. However, there might be a typo in the caption, as "ISTES" is not a common term in control systems, whereas "ITSE" stands for Integral of Time-weighted Squared Error, which is a performance criterion for tuning controllers. C) The title of this graph refers to "plant P1," which does not match the "plant P2" mentioned in the caption. D) This graph's title correctly refers to "plant P2," and it also mentions "ITSTE based tuning of different controllers," which could be a typo or variation of "ISTES based tuning" mentioned in the caption. E) This graph's title correctly refers to "plant P2," and it also mentions "ISTES based tuning of different controllers," which exactly matches the caption. Based on the information provided in the caption and the titles of the graphs, the image that best matches the caption is: E) This graph's title matches the caption exactly, referring to "plant P2" and "ISTES based tuning of different controllers." Despite the potential typo in the caption, this image is the closest match.

Gemini-Pro Vision:

Image D best matches the caption. The caption states that the image is of the control signal of 2p for step input and load disturbance with istes based tuning. The image shows three lines, which are likely the control signals for the three different controllers. The lines are labeled "FOPID controller", "Fuzzy PID controller", and "PID controller". The image also has a legend, which shows that the x-axis is time in seconds and the y-axis is amplitude.

J Generated Captions



Technique 🔸 Glass 🔸 Glass+Skin

Figure 19: Example figure from the SciFIBench CS set.

True Caption:

mean rt vs. the number of commands for each condition . Generated Captions: Claude 3 Haiku: The graph shows the relationship between the number of commands and the reaction time for three different techniques: Technique, Glass, and Glass+Skin. Claude 3 Sonnet: Comparison of reaction times for different techniques (Glass and Glass+Skin) across varying numbers of commands. Gemini Pro Vision: Reaction time as a function of the number of commands for the glass and glass+skin conditions. Gemini 1.5 Flash: Reaction time increases with the number of commands, but the increase is less pronounced for the Glass+Skin technique. GPT-4 Turbo: Reaction time in milliseconds for two techniques, Glass and Glass+Skin, across varying numbers of commands. OmniLMM-3b: The line graph shows the relationship between glass and skin. Qwen VL: The reaction time of the Glass and Glass+Skin techniques as a function of the number of commands TransCoreM: A graph showing the number of commands for different techniques. Yi VL 6b: A graph of reaction time vs number of commands shows a linear relationship.